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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

HOWORKA, Edward R.

Serial No.: 09/707,824

Filed: November 7, 2000

For: ANONYMOUS TRADING SYSTEM WITH IMPROVED QUOTE INPUT CAPABILITY

Our File: P/3331-111 (CONT)

Date: November 29, 2000

Group Art Unit: Unknown

Examiner: Unknown

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Technology Center 2100

Asst. Commissioner of Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Preliminary to the examination of the above application, please amend the application as follows:

IN THE ABSTRACT OF THE DISCLOSURE:

Please add the new Abstract attached hereto.

IN THE SPECIFICATION:

On page 2, line 30, delete the “,” [both occurrences].

On page 3, line 10, after “background” insert --) --.

On page 4, line 17, change “intention” to -- invention --.

On page 7, line 13, after “red” insert -- at the maker’s transaction panel --.

On page 9, line 21, change “H” to -- H_Q --;

line 22, change “P” to -- P_F -- and change “H/P” to -- H_Q/P_F --

On page 10, line 1, change “3” to -- 4 --;

line 15, change “H/P” to -- H_Q/P_F --.

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